



SRVSOP

Examples of methodologies

Tool for its application

PEL, OPS, AIR and AGA

Risk Based Surveillance (RBS)

Module 2 Introduction to the SRVSOP RBS

Lima, Peru, March 9-13, 2020

Introduction

Situation

- Lack of mechanisms in the safety oversight system of some of the SRVSOP States that allow to identify and prioritize the areas of greatest concern or need for safety of service providers in the civil aviation system.

Project justification

- Commitment of the States to guarantee a civil aviation system with an acceptable level of safety in their operations.
- States must face the challenges of the growth of the aviation industry and the introduction of new technologies.
- States may maintain a safety oversight capability proportional to the complexity of the State's civil aviation system.



Proposed solution

Risk Based Surveillance (RBS)

Examples of methodologies for prioritizing surveillance activities were developed to the areas of greatest concern or need for safety.





Prescriptive surveillance

Focused on regulatory
compliance

RBS Project Team

Project Leader and project team members



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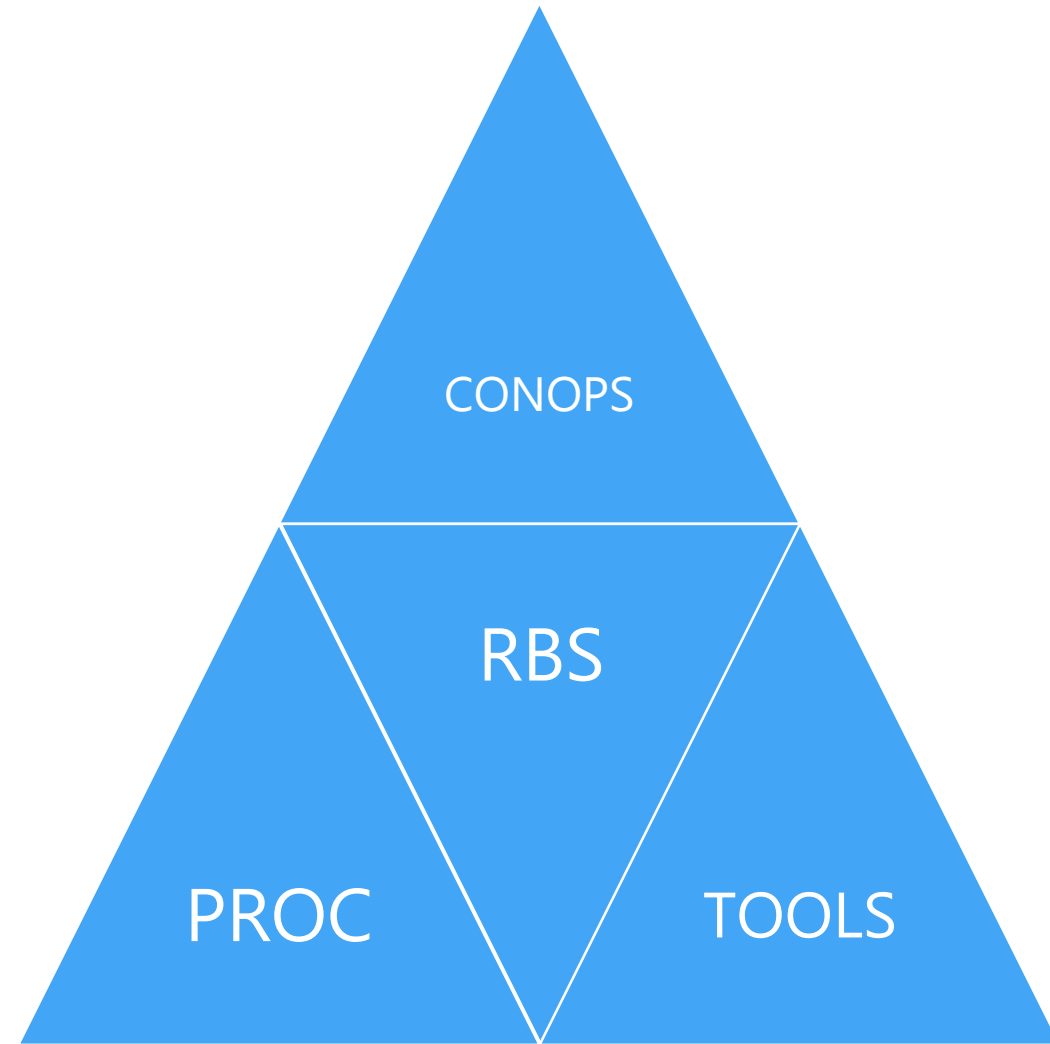
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Objective RBS

Offer SRVSOP member States a sustainable and transparent solution that responds to the task of prioritizing inspections, audits and surveys of training organizations, AMOs, air operators and aerodromes to those areas of greatest concern or need for safety.

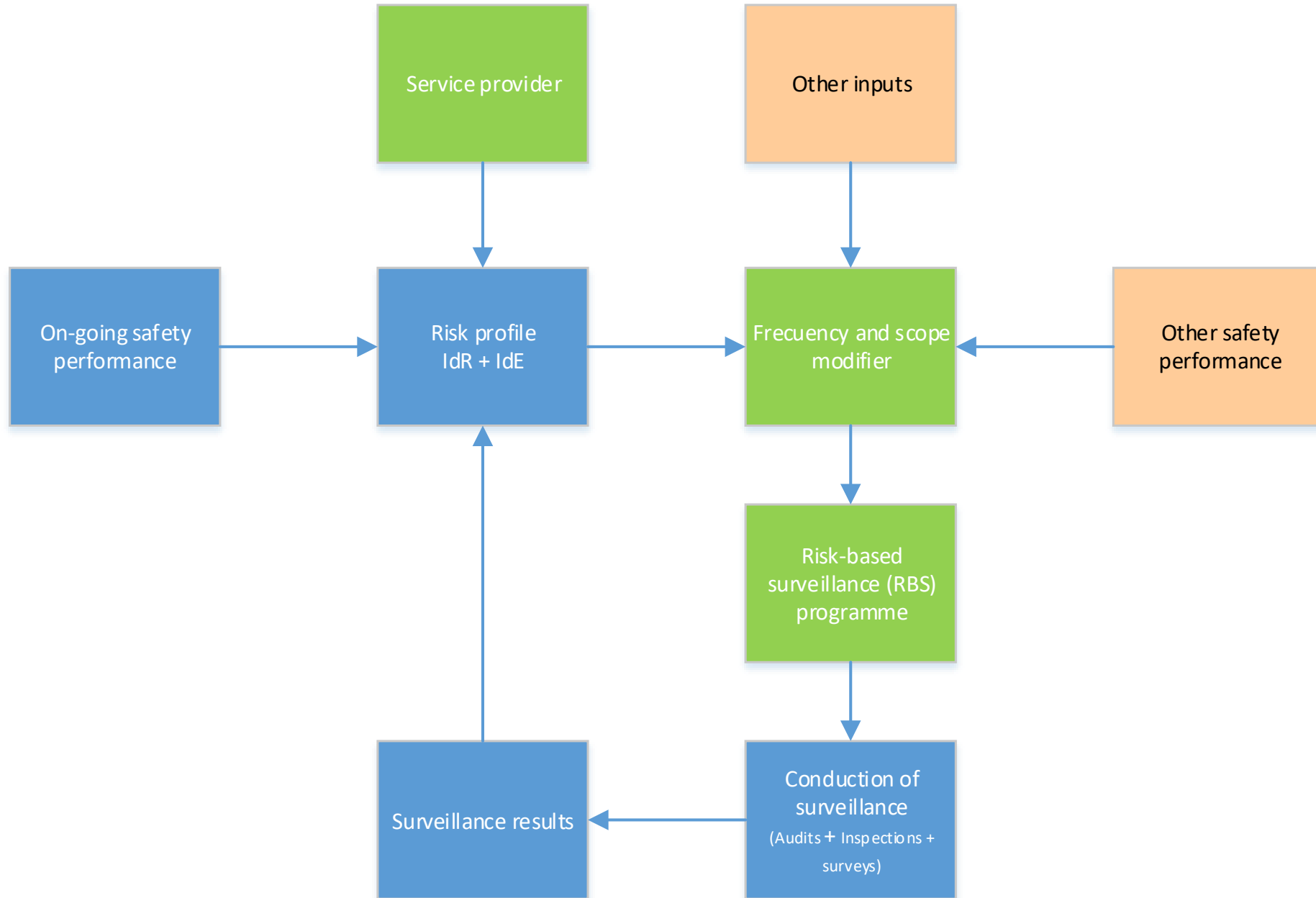




Conops

Concept

It is a continuous process of assessing the risk profile of service providers that allows determining the frequency and scope of surveillance activities, prioritizing the safety areas of greatest concern or need, observing safety performance and other input that will modify the frequency and scope of surveillance activities (inspections, audits and surveys).





Proc

Procedures

The procedures necessary for the implementation of RBS will use **proactive data only** are being developed and amended. In summary, a manual of RBS methodologies, the technical specifications of the tool, the checklists, the tool to evaluate the effectiveness of the SMS and the manual of taxonomy of hazards will be developed. The manuals of the inspectors of PEL, OPS, AIR and AGA will also be amended and modified to include the RBS procedures.

Procedures



RBS methodologies manual

The risk-based surveillance planning methodologies applicable to the areas of PEL, OPS, AIR and AGA are described



PEL safety inspector manual

It incorporates a chapter of risk-based surveillance and the RBS checklists applicable to a certified civil aviation training and instruction center



Hazard Taxonomy Manual

Contains the hazard taxonomy codes applicable to the RBS checklists



Operation safety inspector manual

It incorporates a chapter of risk-based surveillance and the RBS checklists applicable to a certified air operator



Procedures

Airworthiness



safety inspector manual

It incorporates a chapter of risk-based surveillance and the RBS checklists applicable to an approved maintenance organization



Technical specifications

The technical specifications (TecSpecs) of four (4) Excel Workbooks were developed, where RBS planning will be carried out and three (3) Workbooks that will merge the data and produce proactive safety information for planning of the RBS.



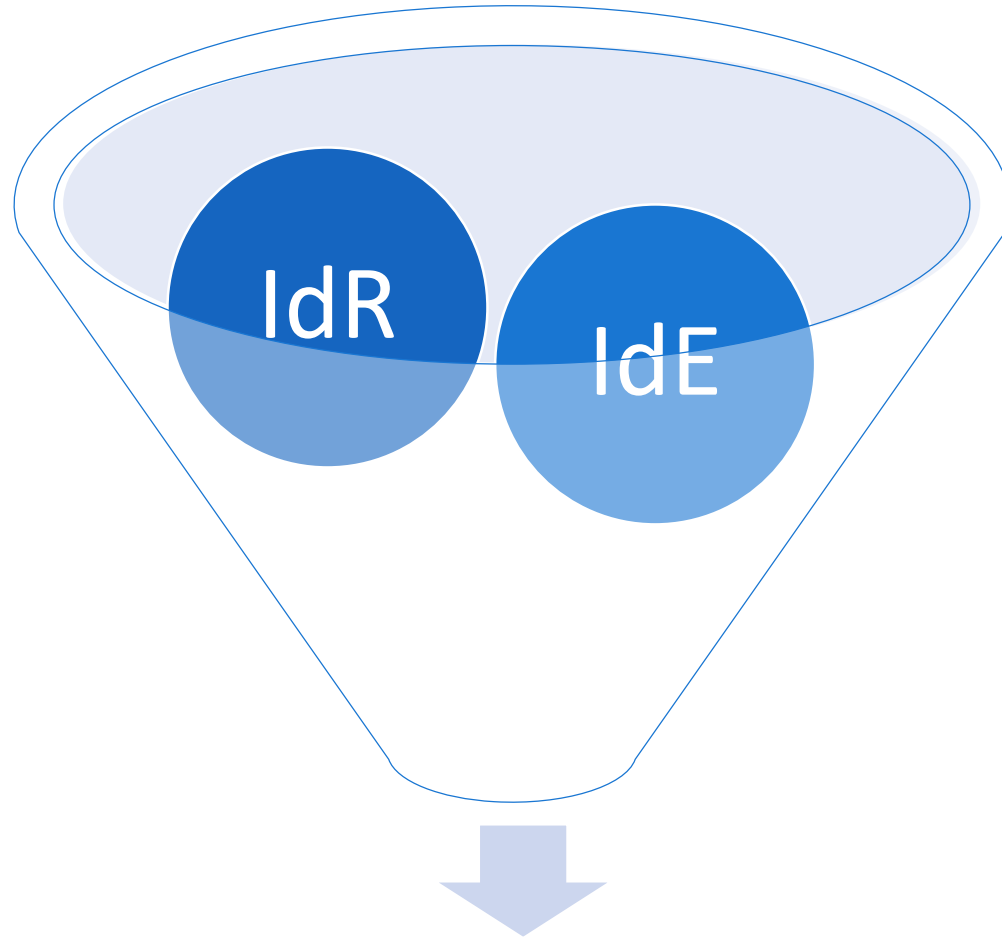
TechSpecs Technical specifications

TecSpecs guide the development of Excel Workbooks that will manage the data collected to convert them into safety information once they have been processed. Safety information is necessary to continuously determine the risk profile (ORP). It also guides the development of interfaces to link safety information with the Excel Workbook for monitoring safety performance (indicators, targets and alert levels).





- SMS
- Surveillance Database
- Safety performance
- Check lists (CL)

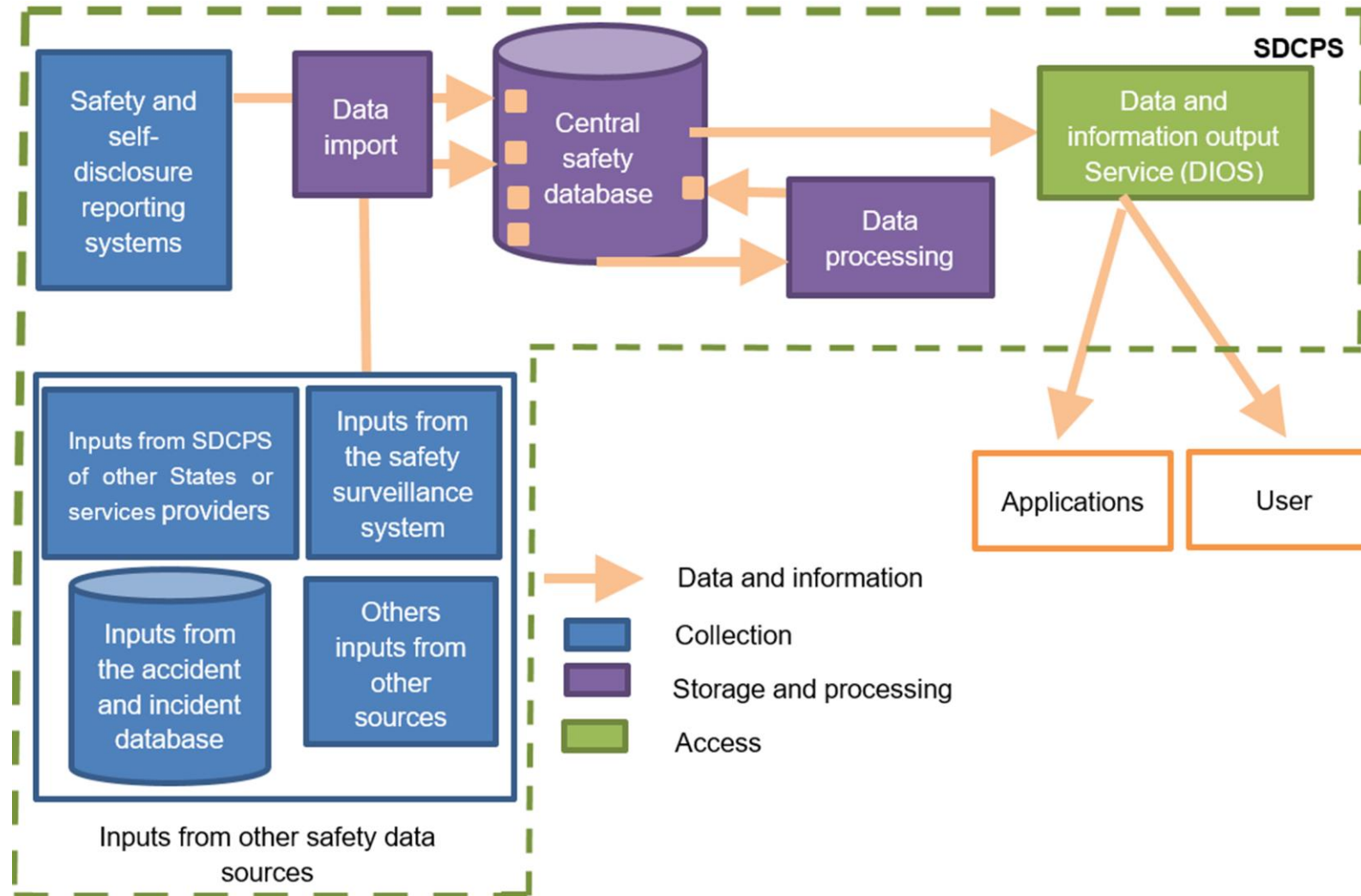


Frequency + Scope

RBS	Fecha de término	2019					2020			% Complete
		AGO	SEP	OCT	NOV	DIC	ENE	FEB	MAR	
1. Conops	10/12/19									100%
2. Tools techspecs	15/12/19									100%
3. RBS methodologies manual	22/01/20									100%
4. Hazards taxonomy manual	08/01/20									100%
5. Safety inspectors PEL, OPS, AIR y AGA	15/02/20									100%
6. RBS tolos (prototype)	22/01/20									100%
7. RBS Workshop	13/03/20									100%

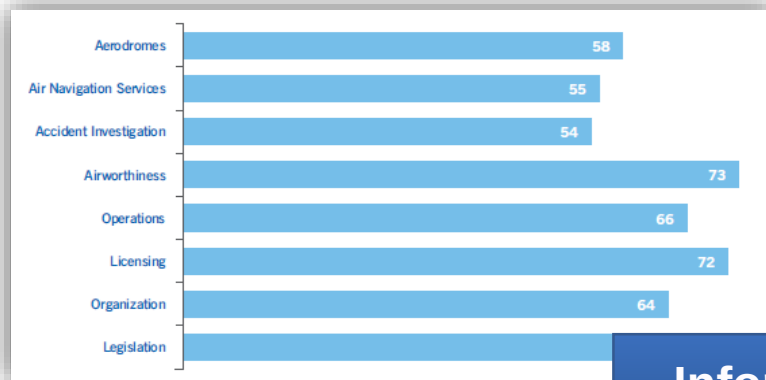
¿What is route map for our States?

SDCPS development and implementation



¿What is route map for our States?

SDCPS Implementation



Information

Data

▼ 0: Country: "ZAF" Name: "1 TIME AIRLINE (PTY)" Code: "RNX"

▼ 1: Country: "GBR" Name: "2 EXCEL AVIATION LTD" Code: "BRO"

▼ 2: Country: "GBR" Name: "2 SQN, NO 1 ELEMENTAR" Code: "WYT"

▼ 3: Country: "RUS" Name: "213 FLIGHT UNIT" Code: "TFU"

► 4: {...}

PART A: INDICATOR	
1. INDICATOR	Indicator 1.201: Runway safety occurrences by occurrence
2. DESCRIPTION	Number of occurrences related to runway safety, including excursions, incursions, abnormal runway contact (ARC), undershoot, overboard, foreign object damage (FOD).
3. ICAO STRATEGIC OBJECTIVE	☒ Safety ☐ Capacity ☐ Efficiency ☐ Security ☐ Environment
PART B: INDICATOR SPECIFICATIONS	
4. GASFOR CANP ELEMENT	GASP
5. PROJECT OR PROGRAMME OR OBJECTIVE	Runway safety
6. INDICATOR TYPE	The indicator is: ☐ activity-related (predictive or leading) OR ☒ outcome-related (reactive or lagging)
7. RATIONALE	Number of runway safety occurrences provides an overall indicator of safety performance.
8. LIMITATIONS	Limitations may be introduced depending on the availability of voluntary reporting data.
9. DEFINITION OF TECHNICAL OR SPECIFIC TERMS	Runway safety occurrences are all the events happening ... [global runway safety action plan]
10. CALCULATION METHOD/FORMULA	N/A
PART C: DATA	
11. DATA SET(S)	12. AVAILABILITY
13. DISAGGREGATION LEVEL	14. PROVIDER
15. CUSTODIAN	
Occurrence reports	Runway safety
Indicator number	Tier classification
1.201	1

Collect and store
Add
Analyze

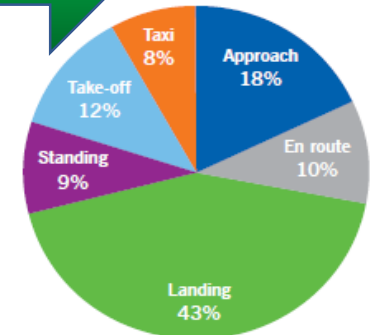
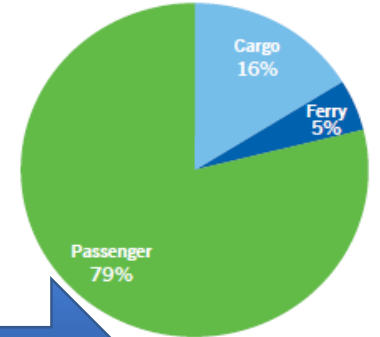
Data control

Protection of data,
information and sources of
safety.

Access and security

Information

SPI



Thanks for your attention!

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